

PTO/SB/21 (02-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|------------------------|-------------------|
| Application Number | 10/815050 |
| Filing Date | March 31, 2004 |
| First Named Inventor | Csermak et al. |
| Art Unit | 2643 |
| Examiner Name | Unknown |
| Attorney Docket Number | 764164605067(002) |

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): General Power of Attorney by Assignee; Statement Under 37 CFR 3.73(b) w/ copy of recorded assignment |
|---|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|------------------------------------|
| Firm or Individual name | Joseph M. Sauer, Esq. Jones Day |
| Signature | |
| Date | 11/5/04 |

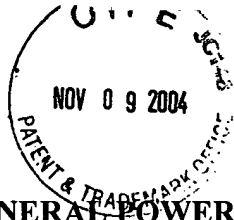
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|-----------------------|----------------|------|---------|
| Typed or printed name | Suzanne Koston | | |
| Signature | | Date | 11-5-04 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**GENERAL POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTORS UNDER 37 C.F.R. 3.71**

The undersigned Assignee hereby designates the following as its correspondence address and telephone number:

Jones Day
North Point
901 Lakeside Avenue
Cleveland, Ohio 44114
(216) 586-3939

and appoints as its attorneys with full power of substitution and revocation, to prosecute all patent applications for which the undersigned Assignee is or will become the assignee of record of the entire right, title and interest, and to transact all business in the Patent and Trademark Office connected therewith, any person who is or subsequently becomes registered under **Jones Day Customer Number 24325**.

In accordance with 37 C.F.R. 3.71, this appointment is to the exclusion of the inventors and their attorneys. The undersigned Assignee further authorizes Jones Day to fill in any necessary identifying information on this document.

An assignment of the entire interest in application no. 10/815050.

☒ was recorded on March 31, 2004 at Reel/Frame 015173/0994.

☐ is submitted herewith for recording.

Please direct all correspondence for this application to customer no. 24325.

ASSIGNEE: Genum Corporation

Signature:

Typed Name: Gora Ganguli

Position/Title: Vice President, Hearing Instrument Products

Address: 970 Fraser Drive
Burlington, Ontario
L7L 5P5 CANADA

Date:

8 October, 2004.



JFW

PATENT

Attorney Docket No. 76416460605067(002)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Csermak et al.

Serial No.: 10/815,050


Filed: March 31, 2004

Title: Hearing Instrument with Self-Diagnostics

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

37 CFR 3.73(b) Statement

Attached is a copy of the Assignment of the subject application showing the chain of title from the inventor(s) to the assignee.



Joseph M. Sauer, Esq. Reg. No. 47,919
Attorney/Agent for Assignee



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 24, 2004

PTAS



102715726A

JONES DAY
JOSEPH M. SAUER, ESQ.
NORTH POINT
901 LAKESIDE AVENUE
CLEVELAND, OH 44114

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/31/2004

REEL/FRAME: 015173/0994
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CSERMAK, BRIAN D.

DOC DATE: 03/29/2004

ASSIGNOR:

RYAN, JIM G.

DOC DATE: 03/29/2004

ASSIGNOR:

ARMSTRONG, STEPHEN W.

DOC DATE: 03/29/2004

ASSIGNEE:

GENNUM CORPORATION
970 FRASER DRIVE
BURLINGTON, ONTARIO, CANADA L7L 5P5

SERIAL NUMBER: 10815050

FILING DATE: 03/31/2004

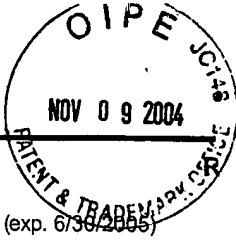
PATENT NUMBER:

ISSUE DATE:

TITLE: HEARING INSTRUMENT WITH SELF-DIAGNOSTICS

015173/0994 PAGE 2

RNICOL, SUPERVISOR
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



04-07-2004



102715726

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Form PTO-1595
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2006)

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

PTO
22857
10/815050



033104

1. Name of conveying party(ies):

Brian D. CSERMAK
Jim G. RYAN
Stephen W. ARMSTRONG

3/31/04

2. Name and address of receiving party(ies)

Name: Gennum Corporation

Internal Address: 970 Fraser Drive

Street Address: Same

City: Burlington, Ontario CANADA State: Zip: L7L 5P5

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 3/29/2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/31/2004

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph M. Sauer, Esq.

Internal Address: JONES DAY

North Point

901 Lakeside Avenue

Street Address: Same

City: Cleveland State: OH Zip: 44114

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

501432 (Ref. 764164605067)(002)

DO NOT USE THIS SPACE

9. Signature.

04/06/2004 LMUELLER 00000263 501432 10815050

01 FC:0021

40.00 DA

Joseph M. Sauer

Name of Person Signing

Signature

March 31, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS new and useful improvements have been made by the undersigned in

HEARING INSTRUMENT WITH SELF-DIAGNOSTICS

for which I have executed on even date herewith an application for Letters Patent of the United States, which application is further identified as Jones Day Docket No. 764164605067(002).

WHEREAS, **Gennum Corporation**, a corporation organized under the laws of the Province of Ontario, CANADA, having a place of business at 970 Fraser Drive, Burlington, Ontario, CANADA L7L 5P5, hereinafter referred to as "assignee", is desirous of acquiring all right, title, and interest throughout the world in and to said inventions and improvements including the patent rights therefor.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I hereby assign and transfer unto assignee, its successors and assigns, all right, title, and interest, in the United States and throughout the world, in and to said inventions and improvements and all patent applications, patents, patent rights, and inventor's certificates therefor, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues thereof, all right to sue for past infringement thereunder, and all patents which may be granted for said inventions and improvements by states or nations other than the United States and all applications therefor, and I covenant and agree for myself and for my executors, administrators, and legal representatives that, at assignee's request, any and all applications, affidavits, assignments, and other instruments will be made, executed, and delivered as may be necessary or desirable to secure for or vest in assignee, its successors or assigns, any right, title, interest in and to any application, patent, patent right or other right or property covered by this assignment, including the right to apply for and obtain patents in foreign countries under the provisions of the International Convention, and the United States Commissioner of Patents and Trademarks is hereby requested and authorized to issue any and all United States patents granted on any of said applications to assignee as owner of the entire right, title, and interest in and to the same, and appropriately empowered officials of foreign countries are hereby authorized to issue any letters patent granted on any of said applications to assignee as owner of the entire right, title, and interest in and to the same.

The undersigned hereby grants the firm of Jones Day the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

First Inventor:

Date: March 29, 2004

Residing and Having a
Post Office Address at:

B. Csermak
Brian D. Csermak

6 Park Avenue
Dundas, Ontario L9H 5A8
CANADA

STATEMENT BY WITNESS

I, PHILIPPE A. PANGO, whose full Post Office address is
(Name of Witness)

1258 Blanchard Dr. Burlington ON L7M 4N6 Canada
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: March 29th 2004

[Signature]
(Signature of Witness)

Second Inventor:

Date: _____

Residing and Having a
Post Office Address at:

Jim G. Ryan

6123 Westwater Cres.
Glouster, Ontario K1W 1E1
CANADA

STATEMENT BY WITNESS

I, _____, whose full Post Office address is
(Name of Witness)

(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: _____

(Signature of Witness)

~~First Inventor:~~~~Date: _____~~~~Residing and Having a
Post Office Address at:~~~~_____~~
~~Brian D. Csermak~~~~6 Park Avenue
Dundas, Ontario L9H 5A8
CANADA~~

STATEMENT BY WITNESS

I, _____, whose full Post Office address is
(Name of Witness)_____
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: _____

(Signature of Witness)

Second Inventor:

Date: March 29, 2004Residing and Having a
Post Office Address at:Jim G. Ryan
Jim G. Ryan6123 Westwater Cres.
Glouster, Ontario K1W 1E1
CANADA

STATEMENT BY WITNESS

I, Dennis Mitchler, whose full Post Office address is
(Name of Witness)115 Insmill Crescent, Kanata, Ontario, CA, K2T 1G6
(Address of Witness)


hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: March 29, 2004Dennis Mitchler
(Signature of Witness)

Third Inventor:

Date: Mar 27/07

Residing and Having a
Post Office Address at:


Stephen W. Armstrong

2141 Winchester Crt.
Burlington, Ontario L7P 3M7
CANADA

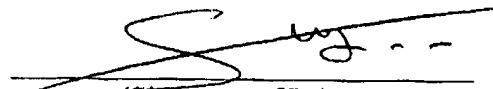
STATEMENT BY WITNESS

I, PHILIPPE A. PANGO, whose full Post Office address is
(Name of Witness)

1258 Blanchard Dr Burlington ON, L7R 4N6 Canada
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: March 29th 2004


(Signature of Witness)